

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Fairhousing assistance	Fair housing	CDBG: \$ / HOME: \$0	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	201		0	201	
Fairhousing assistance	Fair housing	CDBG: \$ / HOME: \$0	Other	Other	200	0	0.00%	400	0	0.00%
Homeless assistance	Homeless	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	3		0	3	

Homeless assistance	Homeless	CDBG: \$	Homeless Person Overnight Shelter	Persons Assisted	0	0		0	0	
Homeless assistance	Homeless	CDBG: \$	Homelessness Prevention	Persons Assisted	242	0	0.00%	242	0	0.00%
Maintaining Standards	Administration	CDBG: \$ / HOME: \$	Buildings Demolished	Buildings	1	0	0.00%			
Maintaining Standards	Administration	CDBG: \$ / HOME: \$	Other	Other	400	0	0.00%	400	0	0.00%
Promote safe decent affordable housing	Affordable Housing	CDBG: \$ / HOME: \$	Rental units constructed	Household Housing Unit	0	11		0	11	
Promote safe decent affordable housing	Affordable Housing	CDBG: \$ / HOME: \$	Homeowner Housing Added	Household Housing Unit	5	96	1,920.00%	4	49	1,225.00%
Promote safe decent affordable housing	Affordable Housing	CDBG: \$ / HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	37	23	62.16%	12	14	116.67%
Promote safe decent affordable housing	Affordable Housing	CDBG: \$ / HOME: \$	Direct Financial Assistance to Homebuyers	Households Assisted	60	0	0.00%	65	0	0.00%

Promote safe decent affordable housing	Affordable Housing	CDBG: \$ / HOME: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	10	0	0.00%	60	0	0.00%
Public Facilities	Non-Housing Community Development	CDBG: \$201285	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	7834	0	0.00%			
Public Facilities	Non-Housing Community Development	CDBG: \$201285	Other	Other	0	0		7500	0	0.00%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME
White	3	82
Black or African American	1	36
Asian	0	0
American Indian or American Native	0	0
Native Hawaiian or Other Pacific Islander	0	4
Total	4	122
Hispanic	0	4
Not Hispanic	4	118

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	685,341	303,492
HOME	public - federal	1,075,759	279,272
Other	public - federal	386,391	0

Table 3 - Resources Made Available

Narrative

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Central Gastonia	100	44	Activities within the corporate limits of Gastonia

Table 4 – Identify the geographic distribution and location of investments

Narrative

During FY2019, the City of Gastonia was impacted by the Coronavirus (COVID-19). As a result of the Corona virus pandemic, the U.S. Department of Housing and Urban Development (HUD) released \$386,391 of CDBG-CV funds in March 2020 to address the impacts. The City of Gastonia chose to tie the CDBG-CV funding to the 2015-19 Consolidated Plan and FY2019 Annual Action Plan.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

Federal funds were leveraged through use of private mortgages obtained through applicants that received assistance through the Homebuyers Assistance Program.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	44,809
2. Match contributed during current Federal fiscal year	413,682
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	458,492
4. Match liability for current Federal fiscal year	171,433
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	287,059

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
2696	11/18/2019	0	39,060	0	0	0	0	39,060
2716	10/30/2019	0	20,753	0	0	0	0	20,753
2718	11/14/2019	0	31,365	0	0	0	0	31,365
2728	12/30/2019	0	40,167	0	0	0	0	40,167
2729	01/03/2020	0	36,975	0	0	0	0	36,975
2731	01/24/2020	0	24,225	0	0	0	0	24,225
2737	02/18/2020	0	53,192	0	0	0	0	53,192
2740	03/27/2020	0	31,028	0	0	0	0	31,028
2755	05/27/2020	0	19,925	0	0	0	0	19,925
2756	05/09/2020	0	31,594	0	0	0	0	31,594
2771	08/05/2020	0	32,385	0	0	0	0	32,385
2772	07/27/2020	0	23,638	0	0	0	0	23,638
2776	07/31/2020	0	14,891	0	0	0	0	14,891
2779	09/30/2020	0	14,485	0	0	0	0	14,485

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period	Amount received during reporting period	Total amount expended during reporting period	Amount expended for TBRA	Balance on hand at end of reporting period
\$	\$	\$	\$	\$
86,600	511,861	196,483	0	401,978

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	1,017,764	0	0	181,326	0	836,438
Number	20	0	0	10	0	10
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	1,017,764	36,150	981,614			
Number	16	2	14			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	8	0	0	2	1	5
Dollar Amount	33,628	0	0	8,465	1,617	23,546

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition

Parcels Acquired		0	0			
Businesses Displaced		0	0			
Nonprofit Organizations Displaced		0	0			
Households Temporarily Relocated, not Displaced		0	0			
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	242	0
Number of Non-Homeless households to be provided affordable housing units	1	0
Number of Special-Needs households to be provided affordable housing units	1	0
Total	244	0

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	60	11
Number of households supported through The Production of New Units	4	0
Number of households supported through Rehab of Existing Units	12	16
Number of households supported through Acquisition of Existing Units	65	49
Total	141	76

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

Although goals were consistent with actual results, it should be noted that programs were still impacted as a result of COVID-19. Due to the potential health risks to staff, citizens and contractors involved, the program was temporarily suspended to address health and safety issues related to COVID-19.

Discuss how these outcomes will impact future annual action plans.

Future annual action plans will be impacted by COVID-19 as the City of Gastonia had to adapt its programming and procedures to implement safety factors. Delays are a result of additional administrative planning, implementation and carrying out various programs.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	7	1
Low-income	2	6
Moderate-income	0	9
Total	9	16

Table 13 – Number of Households Served

Narrative Information

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Gaston Lincoln Cleveland Continuum of Care's Planning Committee is actively working to evaluate and development a revised 10-Year Plan to End Homelessness. In addition to these efforts, the committee is also working on a short-term 5-Year Plan to End Homelessness. Because of some geographical and technical differences between each of the three counties, both the 5-Year and 10-Year plans will look at collective measures for all CoC communities as well as incorporating measures specific to each county's needs and available resources.

Addressing the emergency shelter and transitional housing needs of homeless persons

The Gaston Lincoln Cleveland Continuum of Care's Housing and Planning Committees collaborate and are actively working to develop a viable work plan that evaluates and accesses current needs.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Several agencies currently receive Emergency Solutions Grant (ESG) Funding through the North Carolina Department of Health and Human Services (DHHS). As part of this grant which funds Gaston Lincoln and Cleveland Continuum of Care (GLC CoC), several area agencies receive Rapid Rehousing (RRH) Funding that helps those households on the brink of becoming homeless by providing a subsidy that will allow individuals and families to remain in their current dwellings. The GLC Continuum of Care is actively reviewing, planning and working to address other areas of homelessness for other individuals and families after being discharged from various facilities in need of housing.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Making the transition to permanent housing for homeless individuals and families in Gaston County is a current barrier that the Continuum of Care is aware of and works to combat. Currently there are limited transitional facilities in the area that would allow homeless individuals and families to work toward permanent solutions of self sufficiency. Additional barriers include zoning regulations in place that limit the capability to move forward with this component.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The Gastonia Housing Authority no longer operates public housing units. In 2017 GHA began the conversion of its public housing units to Rental Assistance Development (RAD) units which it recently completed. These converted units are still subsidized through the Section 8 RAD Project-Based Voucher (PBV) Program. RAD PBV waiting list began June 2018.

During PY2019, GHA increased its Section 8 Vouchers by 12 for a total of 1,706 available vouchers. The agency was able to assist 1,622 individuals and families with Section 8 Vouchers.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The Gastonia Housing Authority collaborates with Gastonia's 12-month homebuyers club which teaches various financial and housing maintenance components. Eligible GHA tenants are able to use the Housing Assistance Payment (HAP) toward the mortgage payment. Eligible applicants are able to access HOME Program funding designated to assist with down-payment and closing costs.

Actions taken to provide assistance to troubled PHAs

Gastonia Housing Authority is not listed as a troubled Public Housing Authority (PHA), and is in good standing with the U.S. Department of Housing and Urban Development (HUD).

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The City of Gastonia is working to address obstacles to meet the need of those underserved individuals and families within the community. Through its collaborative efforts and continued involvement and participation in the Continuum of Care, staff has a greater awareness of community needs through partner agencies. As a result of this information, the City works to develop partnerships to collaborate efforts to meet the needs of the underserved.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The City of Gastonia continues to review and monitor homeownership properties for signs of chipping, peeling and cracking paint. Cases that exhibit signs of potential or lead-based paint are tested. If paint is positive for lead, necessary abatement is conducted to eliminate the issue to ensure the property is safe for habitation.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

Through its support of the Gaston Lincoln Cleveland Continuum of Care (CoC), the CoC continually works to involve public and private housing and social service agencies to address efforts to reduce and/or eliminate housing.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

Since the onset of COVID-19 in March 2020 and as a measure of safety for beneficiaries and staff, remote desktop monitoring was implemented. Staff requested each facility to provide a list of residents from which a random number of units were selected for review testing. Staff applied the same testing measures used for onsite testing.

The City of Gastonia is proactive in its efforts and implemented measures to monitor agencies more frequently than the required 2 or 3 year period. The City conducts annual monitoring reviews of its vested rental projects to address matters as they occur and either eliminate or reduce problematic issues which arise through management and applicant intake for the units.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

Staff makes efforts to accommodate citizen participation by providing reasonable notice and opportunity to comment on performance reports. For the FY2019 CAPER, a combined notice of public notice and comment was advertised on November 13, 2020 and again on November 19, 2020. The comment period was for November 23, 2020 through December 9, 2020. A public hearing was conducted on Monday, November 30, 2020. A draft of the FY2019 CAPER is posted to the City of Gastonia website, and copies are also available upon request. If and when citizen comments are received, the comments are included as part of the Consolidated Annual Performance and Evaluation Report (CAPER).

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

Changes to programs were necessary at the onset of COVID-19. For the safety of applicants, contractors and staff were all factored to ensure all parties were safe. As a result, the program was temporarily suspended as staff worked on safety measures to be implemented to resume program in a safe manner.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Desktop reviews were conducted between July and August 2020. To ensure the safety of beneficiaries and staff, the City of Gastonia applied for and obtained waiver approval from HUD in May 2020 to defer rental monitoring since the onset of COVID-19.

HOME INVESTMENT PARTNERSHIP PROGRAM RENTAL MONITORING				
AGENCY: CITY OF GASTONIA				
PROGRAM YEAR MONITORED:		FY2019-2020		
	PROPERTY NAME	DATE MONITORED	ISSUES NOTED	STATUS
1	Kinross Place	08/03/2020	Desktop Review-Passed	In compliance
2	Redbud	08/05/2020	Desktop Review-Passed	In compliance
3	Third	07/06/2020	Desktop Review-Passed	In compliance
4	Second	07/06/2020	Desktop Review-Passed	In compliance
5	Adam's House (With Friends)	10/13/2020	Unit Vacant - Review Pending	Review delayed; in progress
6	York Street Units	08/22/2020	Income documentation discrepancy	staff will conduct intermittent monitoring to ensure compliance
7	Willow Street	08/22/2020	Income calculation discrepancy	staff will conduct intermittent monitoring to ensure compliance
8	842 Millon Street	08/22/2020	Desktop Review-Passed	In compliance
9	Erin Drive	08/22/2020	Desktop Review-Passed	In compliance
10	Cherokee	08/22/2020	Income documentation discrepancy	staff will conduct intermittent monitoring to ensure compliance
11	Boyce Street	08/22/2020	Desktop Review-Passed	In compliance
12	Villas at Union Trace	08/14/2020	Desktop Review-Passed	In compliance
13	Glenwood Gardens	07/24/2020	Desktop Review-Passed	In compliance

HOME Rental Monitoring-FY2019

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

For the 2019 Program Year, Gastonia used \$ of Program Income (PI) toward activities. The majority of HOME PI was used for activities within the Down Payment Assistance Program

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

With the increase of multi-family housing developments created through the use of Low-Income Housing Tax Credits (LIHTC), the City of Gastonia leveraged its HOME allocation to increase the number of affordable housing units within the corporate limits of Gastonia. The Glenwood Gardens development increase the number of affordable housing units in Gastonia by 58 units.

Attachment

Citizen Participation

City of Gastonia/Gastonia Consortium
 CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT
 (CAPER)
 CITIZEN PARTICIPATION COMMENTS
 July 1, 2019 – June 30, 2020
 YEAR 5

The City of Gastonia, in preparation of its FY2019 Consolidated Annual Performance Evaluation Report (CAPER) ,held a public hearing and maintained a public comment period as follows:

MEETING TYPE	DATE/TIME	LOCATION
PY19 Consolidated Annual Performance and Evaluation Report Public Hearing	Monday, November 30, 2020 4:00 PM	Join Zoom Meeting https://us02web.zoom.us/j/82642057608?pwd=a2YyOGZJb0tXRDZXeGd6aTRtYStZUT09 Meeting ID: 826 4205 7608 Passcode: 310335
Public Comment Period	Monday, 11/23/2020 - Wednesday 12/09/2020	

The combined notice of the public hearing and the notice of the public comment period were published in the local newspaper, *The Gaston Gazette*, on November 13, 2020, and again on November 19, 2020, and placed on the City’s website. Copies of the proposed plan were available also available by request via email or telephone. No citizens attended the public hearing, and no public comments were received as of the close of business on December 9, 2020.

FY2019 CAPER-Advertisement

AFFIDAVIT OF INSERTION OF ADVERTISEMENT The Gaston Gazette

Gastonia, N.C.
Gaston County

The **Gaston Gazette** does certify that the advertisement for:

COMBINED PUBLIC NOTICE: City of Gastonia Community Development Block Grant (CDBG) Program and HOME

Measuring 14.30 inches appeared in The Gaston Gazette, a newspaper published in Gaston County, Gastonia, N.C., in issue(s):

11/13/2020 - 11/19/2020

Name of Account: City of Gastonia-Housing & Neighborhoods
Order Number: 54607098
Ad Number: 54748849

Sworn to, and subscribed before me this 19th day of November, 2020.

Arkie Beatty
Print Name (Classified Representative)

Arkie Beatty
Signature (Classified Representative)

Stephanie B Sisk

Stephanie B Sisk, Notary Public

My Commission Expires March 25, 2025



COMBINED PUBLIC NOTICE:

City of Gastonia
Community Development Block Grant (CDBG)
Program and
HOME Investment Partnership (HOME) Program

PUBLIC COMMENT

Pursuant to the United States Department of Housing and Urban Development (HUD) regulations, the City of Gastonia has prepared its Consolidated Annual Performance Evaluation Report (CAPER) for Fiscal Year 2019-2020 beginning July 1, 2019 through June 30, 2020. The CAPER describes the City of Gastonia's use of federal grant funds which include the Community Development Block Grant Program (CDBG) and HOME Investment Partnership Program (HOME) and includes a summary of accomplishments, accomplishments, and progress towards goals stated within its Consolidated Plan Strategic Plan.

On or about December 17, 2020, the City of Gastonia will submit the CAPER to HUD. Notice is hereby given that the City of Gastonia is open to, and will consider all written comments received during the 10-day public comment period beginning Monday, November 23, 2020 through Wednesday, December 2, 2020. The document will be available on the City of Gastonia website at www.gastonia.org. Individuals interested in providing comments are requested to submit them to the CAPER may be submitted to:

Brandy Day, HUD Administrator
City of Gastonia
Housing and Neighborhood Division
PO Box 1740
Gastonia, NC 28602-1740
(704) 699-6759
brandy.day@gastonia.org

PUBLIC HEARING

A public hearing will be held on Monday, November 23, 2020, at 4:30 pm. Citizens are invited to participate in the public hearing by completing the following steps:

1. From a computer or smartphone, access the link <https://www.zoon.us>
 2. Click the "Join Meeting" tab.
 3. Enter Meeting ID: 925 4205 7806, and Pass Code: 810325 or
 4. As an alternative method, participants may access the meeting via telephone by calling 1 (848) 858 8858, and entering Meeting ID: 925 4205 7806 and Pass Code: 810325.
- Please note that a wide base of participants will be invited and the public comment portion of the meeting.

Richard C. Willis
Director of Community Services Department
Housing and Neighborhood Division
City of Gastonia
1 - C November 18, 2020
1 - C November 19, 2020

FY2019 CAPER-Certifications

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.


Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.L., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

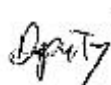
Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.


Signature of Authorized Official

12/11/20
Date

 Deputy
Michael U. Peoples
City Manager
Title
V. Tomo Carpenter

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation – It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) _____ [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

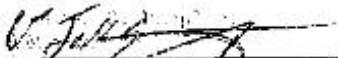
Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws – The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws – It will comply with applicable laws.



Signature of Authorized Official

12/11/20

Date

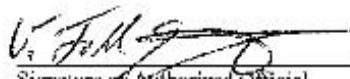
~~Michael C. Peoples,~~
Deputy City Manager *V. Toon* Carpenter


Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(e):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.


Signature of Authorized Official 12/11/20
Date

Deputy
~~Michael G. Pappas~~
City Manager 
Title

V. Todd Carpenter

Specific HOME Certifications


The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;


Signature of Authorized Official 12/11/20
Date

 Michael C. Peoples,
City Manager V. Tom Caperton
Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature of Authorized Official

Date

Title

Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 5 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature of Authorized Official

Date

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.