



City of Gastonia Consolidated Annual Performance Evaluation Report (CAPER) FY 2018

(revised 11/28/2019)

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Fairhousing assistance	Fair housing	CDBG: \$ / HOME: \$0	Other	Other	200	0	0.00%	200	0	0.00%
Homeless assistance	Homeless	CDBG: \$	Homelessness Prevention	Persons Assisted	242	0	0.00%	242	0	0.00%
Maintaining Standards	Administration	CDBG: \$ / HOME: \$	Buildings Demolished	Buildings	1	0	0.00%			
Maintaining Standards	Administration	CDBG: \$ / HOME: \$	Other	Other	400	0	0.00%	400	0	0.00%
Promote safe decent affordable housing	Affordable Housing	CDBG: \$ / HOME: \$	Homeowner Housing Added	Household Housing Unit	5	47	940.00%	4	47	1,175.00%

Promote safe decent affordable housing	Affordable Housing	CDBG: \$ / HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	37	9	24.32%	42	9	21.43%
Promote safe decent affordable housing	Affordable Housing	CDBG: \$ / HOME: \$	Direct Financial Assistance to Homebuyers	Households Assisted	60	0	0.00%	60	0	0.00%
Promote safe decent affordable housing	Affordable Housing	CDBG: \$ / HOME: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	10	0	0.00%	10	0	0.00%
Public Facilities	Non-Housing Community Development	CDBG: \$220000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	7834	0	0.00%			
Public Facilities	Non-Housing Community Development	CDBG: \$220000	Other	Other	0	0		12000	0	0.00%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

Public facilities was an amended component of the Consolidated Plan, and has the largest share of CDBG funds. The objective was to have effectively use the majority of funds in a way that would have the greatest overall impact to the community. Affordable Housing is another high

ranking priority. The second largest portion of CDBG funds are used towards sustaining affordable housing by means of homeowner housing rehabilitation. To further support efforts to procure affordable housing for residents of the community, a large portion of HOME funds are channeled toward new construction and direct financial assistance to homebuyers.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME
White	374	138
Black or African American	410	73
Asian	5	0
American Indian or American Native	3	0
Native Hawaiian or Other Pacific Islander	1	4
Total	793	215
Hispanic	0	0
Not Hispanic	793	215

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The large portion of families assisted with Community Development Block Grant (CDBG) funds are considered low- to moderate income. Respectively, HOME Investment Partnership Program (HOME) Funds are used to assist families at 80% or less of the median family income.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	751,211	689,474
HOME	public - federal	1,169,387	

Table 3 - Resources Made Available

Narrative

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Central Gastonia	100		

Table 4 – Identify the geographic distribution and location of investments

Narrative

For the Community Development Block Grant (CDBG) funds, the geographic distribution area is the corporate limits of Gastonia. Presently there are four (4) areas within the corporate limits of Gastonia and within Gaston County with large concentrations of racial/ethnic minorities and low-income families. The census tracts are listed as follows:

318.00 319.00 320.00 332.01

The largest concentration of African-Americans are within the Highland Community, specifically census tract 320.00. For the HOME Investment Partnership Program Funds, the geographic distribution area includes the corporate limits of Gastonia, Gaston County, and the corporate limits of Kings Mountain inclusive of the area within Cleveland County. Applicant homebuyers seeking housing assistance must reside in Gastonia, Belmont, Bessemer City, Cherryville, Cramerton, Dallas, Lowell, McAdenville, Mount Holly, Ranlo, Stanley, or in the unincorporated areas of Gaston County.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

Additional federal funds were leveraged through down payment assistance activities. The local lending institutions provide permanent finance to program applicants, and provide leverage was through waived bank fees. The City continues to work with mortgage lenders in the creation and implementation of their CRA Affordable Housing Programs. Match requirements were met and satisfied homebuyer activities.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	4,621,843
2. Match contributed during current Federal fiscal year	440,442
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	5,062,286
4. Match liability for current Federal fiscal year	188,806
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	4,873,480

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
2517	10/18/2017	0	20,014	0	0	0	0	20,014
2519	11/15/2017	0	16,696	0	0	0	0	16,696
2521	10/20/2017	0	31,923	0	0	0	0	31,923
2526	12/08/2017	0	25,194	0	0	0	0	25,194
2533	12/28/2017	0	22,237	0	0	0	0	22,237
2545	03/16/2018	0	23,396	0	0	0	0	23,396
2548	03/02/2018	0	27,613	0	0	0	0	27,613
2561	05/29/2018	0	22,236	0	0	0	0	22,236
2565	04/26/2018	0	29,574	0	0	0	0	29,574
2567	04/30/2018	0	15,874	0	0	0	0	15,874
2569	05/15/2018	0	23,300	0	0	0	0	23,300
2589	08/07/2018	0	26,539	0	0	0	0	26,539
2592	08/29/2018	0	29,682	0	0	0	0	29,682
2595	08/24/2018	0	15,744	0	0	0	0	15,744
2598	08/24/2018	0	12,712	0	0	0	0	12,712

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
231,978	143,294	288,671	0	86,600

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	624,965	0	0	114,442	0	510,523
Number	17	0	0	7	0	10
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	624,965	0	624,965			
Number	17	0	17			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	9	0	0	0	0	9
Dollar Amount	18,581	0	0	0	0	18,581

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	1	0
Number of Non-Homeless households to be provided affordable housing units	1	0
Number of Special-Needs households to be provided affordable housing units	1	0
Total	3	0

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	10	10
Number of households supported through The Production of New Units	4	3
Number of households supported through Rehab of Existing Units	42	15
Number of households supported through Acquisition of Existing Units	60	64
Total	116	92

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The outcomes were proportional when considering the initial projection, with the only exclusion being rehabilitation projects. With the change in recent years from the combination of Emergency Repairs and Rehabilitation to exclusively Rehabilitation projects, the actual number of projects significantly decreased due to the complexity of repairing multiple systems.

Discuss how these outcomes will impact future annual action plans.

The outcomes will be reviewed, as this is the fifth year of the Consolidated Plan, all goals, objectives and projected outcomes will be viewed indepth and updated to reflect the direction of the current administration and overall goals for the community. The Analysis of Impediments was completed in April 2019, and the 2020-2024 Consolidated Plan and 2020-2021 Annual Action Plan will take this document along with other City planning documents into consideration when planning for future years.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	3	1
Low-income	3	9
Moderate-income	0	11
Total	6	21

Table 13 – Number of Households Served

Narrative Information

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Gaston/Lincoln/Cleveland Continuum of Care through its network of agencies, attempts on a regular basis to identify problem areas as they arise, and address them within specified subcommittees that are brought to the full committee for review and final decision-making. Current efforts are being made to develop as many HMIS entry points throughout the system, so that homeless individuals and families may be identified and an assessment of individual needs so that a complete plan of action may be initiated. The Point-In-Time (PIT) Count/Survey conducted in January of each year also allows the GLC to better ascertain where services and outreach are lacking, and helps to develop a better course of action to address homelessness.

Addressing the emergency shelter and transitional housing needs of homeless persons

The Gaston Lincoln Cleveland (G/L/C) Continuum of Care (CoC) continues to implement and revise its current processes to better address the needs of homeless individuals and families within its program area. The revision to its processes for its emergency shelter and transitional housing are no exception. GLC CoC is in the process of working on its coordinated entry process by ensuring that all agencies have HMIS entry points, and that staff at each facility fully understands and is trained on the process. The GLC CoC hopes that through these efforts it will promote access and utilization of mainstream programs, and optimize self-sufficiency among individuals and families experiencing homelessness.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that

individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The Gastonia Housing Authority (GHA) current availability of vouchers and the number of households assisted are listed as follows:

TYPE	Current Usage	Vouchers Added	Allocation	Waiting List
HCV	1,205		1,115	891
RAD	270	399	399	890
NED	99	100	100	
Mainstream		40	40	
TOTAL			1,694	

GHA received 399 additional vouchers, which are now attached to the privately operated former Public Housing units. GHA also submitted a competitive application for additional special purpose vouchers in 2017 and was awarded an allocation of 40 Mainstream Vouchers.

Renovations on the RAD project (conversion of public housing to privately-owned Section 8 units) are nearing completion with 219 units completing and 193 units in progress. All unit construction is scheduled to be completed by November 15, 2019.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The City of Gastonia developed a Homebuyer's Club. This 12-month training opportunity allows low-to moderate-income individuals interested in homebuying an opportunity to learn and implement various financial components with the eventual goal leading to self-sufficiency. This program is in its fourth year of operation. The Gastonia Housing Authority works with the City by directing applicants who need assistance with financial management for participation in the program. The Gastonia Housing Authority also allows those persons participating in the Section 8 Program to port their voucher for use in the homebuying process.

Actions taken to provide assistance to troubled PHAs

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

Staff works with other departments and citizens to determine issues that serve as barriers to affordable housing. This is an ongoing process, and staff works with other departments to comply with other regulatory policies to determine the best course of action to eliminate or minimize the perceived barrier(s).

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The City of Gastonia makes efforts to educate potential tenants on the components of Fair Housing. For the fourth year, the City has conducted a Fair Housing educational campaign in Gaston County Schools. Information is submitted to the school system for a poster and coloring contest. The current year's participation in the contest was less than in prior years.

City General Funds are used to create an assistance fund that helps with customer utility costs. Very low and low-income families that meet program requirements receive a monthly stipend applied to the utility balance of \$15-25.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The City currently assesses post 1978 housing for the homebuyers and the owner-occupied rehabilitation program. Pre-and post testing and assessment are conducted as needed. In addition, efforts are made to educate program participants on the hazards of lead by providing resource materials at the time of program application.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

In working on various projects, staff continually tries to foster relationships with other agencies by learning of the agencies services, clients and current obstacles. By taking this action, staff is able to

collaborate resources and staff to work toward developing partnerships with both public, private and service agencies and work toward a collaborative solution.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

To ensure long-term compliance of all projects, staff created a monitoring plan. Monitoring is conducted annually, well above the required minimum monitoring standards. Staff initially provides written notice to the agencies on the components to be monitored along with various dates and time to accommodate scheduling. Staff monitors the projects and provides technical assistance where needed. Upon completion of the monitoring review, a report is submitted to the perspective agency of all findings. Any issues of concern are noted and the agency is given the opportunity to address and respond.

Minority outreach is conducted through means of the owner-occupied rehabilitation assistance. The City complies by providing outreach and opportunities for minority-owned businesses to provide services. Currently there are 4 minority businesses that provide services for CDBG-funded programs.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

Notice is provided to citizens by means of the City's website, posting notices at various public facilities, and publicizing the information in the local newspaper, the Gaston Gazette. It is through these means that the City provides citizens the opportunity to provide input on all plans, reports and activities.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Monitoring schedule and results are as listed in the attached documents.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

The City of Gastonia continues to support and encourages the use of affirmative marketing for HOME-assisted units. These efforts help to support the opportunity for fair and equal housing for all persons within the community.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

During FY2018, \$288,516.30 of HOME Program Income was expended toward new construction, and down payment assistance. Of the \$288,516.30 expended, \$151,335.76 was used toward down payment assistance with 29 beneficiaries, and \$137,180.54 was used for new construction with 3 beneficiaries.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

Continual efforts are made to leverage HOME funds to the best extent possible to procure as many quality affordable housing units. Presently there is one new construction multi-family housing unit in progress as a result of leveraging HOME funds through CHDO development. An additional multi-family facility is in the planning/subsidy review phase through use of HOME entitlement funds.

Attachment

CAPER Certifications

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.L., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701v) and implementing regulations at 24 CFR Part 135.


Signature of Authorized Official

09/26/19
Date

Michael C. Peoples,
City Manager

Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation – It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan – Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan – It is following a current consolidated plan that has been approved by HUD.

Use of Funds – It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2016, 2017, 2018 _____ [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force – It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.


Signature of Authorized Official


Date

Michael C. Peoples,
City Manager

Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature of Authorized Official

Date

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.203 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;


Signature of Authorized Official


Date

Michael C. Peoples,
City Manager

Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long as the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature of Authorized Official

Date

Title

Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature of Authorized Official

Date

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

CAPER Advertisement

AFFIDAVIT OF INSERTION OF ADVERTISEMENT The Gaston Gazette

Gastonia, N.C.
Gaston County

The **Gaston Gazette** does certify that the advertisement for:

2018-19 CAPER Advertisement

Measuring 20.50 inches, appeared in The Gaston Gazette, a newspaper published in Gaston County, Gastonia, N.C., in issue(s):

08/02/2019

Name of Account: City of Gastonia Housing & Neighborhoods
Order Number: 54601055
Ad Number: 54739353

Sworn to, and subscribed before me this 2nd day of August, 2019.

Brandi Eggleton

Print Name (Classified Representative)

[Signature]

Signature (Classified Representative)

Stephanie B. Sisk

Stephanie B. Sisk, Notary Public

My Commission Expires March 23, 2020

**COMBINED
NOTICE OF PUBLIC HEARING
AND
NOTICE OF PUBLIC COMMENT
PERIOD
CITY OF GASTONIA
CONSOLIDATED ANNUAL
PERFORMANCE
AND EVALUATION REPORT**

The Community Development Division of the City of Gastonia will hold a public hearing on Monday, September 9, 2018, at 6:00 PM, at the Garland Municipal Business Center - Room 151, 150 S. York Street, Gastonia, NC 28032. The purpose of this public hearing will be to obtain views from the public on the Consolidated Annual Performance and Evaluation Report (CAPER). The Consolidated Annual Performance and Evaluation Report is a document which is used to measure the actual performance of an agency in meeting the goals and objectives described in the Consolidated Plan and Annual Action Plan.

This information was developed in accordance with the Americans with Disabilities Act. For more information or to make any adjustments, please contact the contact person listed below.

INDIVIDUALS REQUIRING SPECIAL ACCOMMODATIONS AT THIS PUBLIC HEARING SHOULD CALL THE ADA COORDINATOR AT (704) 866-6851 OR (704) 868-8843 FOR TDD USERS WITHIN 48 HOURS OF THE PUBLIC HEARING.

The Consolidated Annual Performance and Evaluation Report (CAPER) for the 2018-19 Program Year of the Community Development Block Grant has been prepared and will be submitted to the Department of Housing and Urban Development on or about September 27, 2019. Beginning August 16, 2018, copies of the report will be available for public inspection and comment at the office of the Housing and Neighborhoods Division of the City of Gastonia, Second Floor - Suite 218, Garland Municipal Business Center, 150 S. York Street, Gastonia, North Carolina between the hours of 8:30 a.m. and 4:30 p.m., Monday through Friday.

The City of Gastonia invites public comment on the CAPER during the public comment period, August 15, 2018 through September 16, 2018. Copies of the report can be inspected at the following locations:

- Bradley Community Center
1230 N. Modern Street
- Fresh Community Center
813 N. Poyer Street
- Thelma Community Center
5381 Echo Lane
- T. Jeters Community Center
121 Hartman Street
- Gastonia Hearing Authority
840 West Long Avenue
- Gaston County Public Library - (SHEPHERD BLDG.)
1536 E. Garrison Blvd.

For additional information, please call (704) 868-6750.

This information was developed in accordance with the Americans with Disabilities Act. For more information or to make any adjustments, please contact the contact person listed below.

Wheeler C. Wang,
Director of Community Services
Housing and Neighborhoods Division
City of Gastonia
August 2, 2018
602 2018 0401058

Citizen Participation

City of Gastonia/Gastonia Consortium
CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT
CITIZEN PARTICIPATION COMMENTS
July 1, 2018 – June 30, 2019
YEAR 4

The City of Gastonia, in preparation of its Annual Action Plan for Program Year 2018-19, held a public hearing as follows:

MEETING TYPE	DATE/TIME	LOCATION
PY2018-19 Consolidated Annual Performance and Evaluation Report Public Hearing	Monday, September 9, 2018 6:00 PM	Garland Municipal Business Center 150 S. York Street Gastonia, NC 28052
Public Comment Period	Monday, August 15, 2019 – Thursday, September 16, 2019	

The combined notice of the public hearing and the notice of the public comment period were published in the local newspaper, *The Gaston Gazette*, and placed on the City's website and public meeting boards. Copies of the proposed plan were available for review at various public recreational facilities, the public library and City offices. No citizens attended the public hearing, and no public comments were received.

PY2018 CDBG Program Income



CR-15 - Resources and Investments 91.520(a)

PY2018 CDBG PROGRAM INCOME	
Balance on hand at beginning of period (10/01/2018)	\$ 46,471.52
Amount received during the reporting period	\$ 46,060.15
Amount expended during the reporting period	\$ 76,364.38
Balance on hand at end of reporting period (09/30/2019)	\$ 16,167.29

Table 7A – CDBG Program Income